

# Resilient Multi-User Beamforming WLANs: Mobility, Interference, and Imperfect CSI

**Presenter: Roger Hoefel**

**Oscar Bejarano**  
Cisco Systems  
USA

**Edward W. Knightly**  
Rice University  
USA

**Roger Hoefel**  
Federal University of Rio  
Grande do Sul  
Brazil



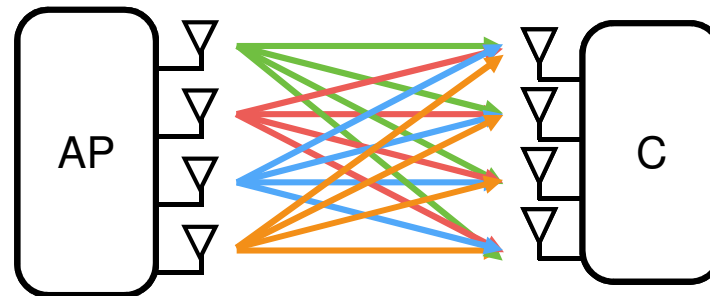
# From SISO to MIMO



IEEE 802.11n: 2009

600 Mbps

4x4 MIMO – 40 MHz – 64QAM

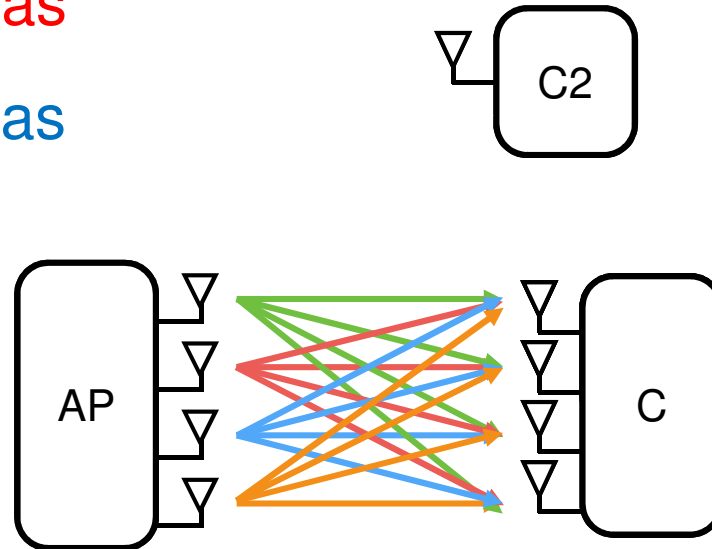


# From SISO to MIMO

In  $M \times N$  MIMO, **capacity increases** with  $\min(M, N)$

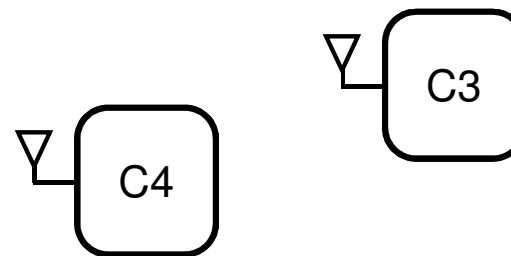
**M:** # of TX antennas

**N:** # of RX antennas



## Real World:

Low number of antennas  
due to form factor of  
mobile devices **and** cost



# Multi-user MIMO

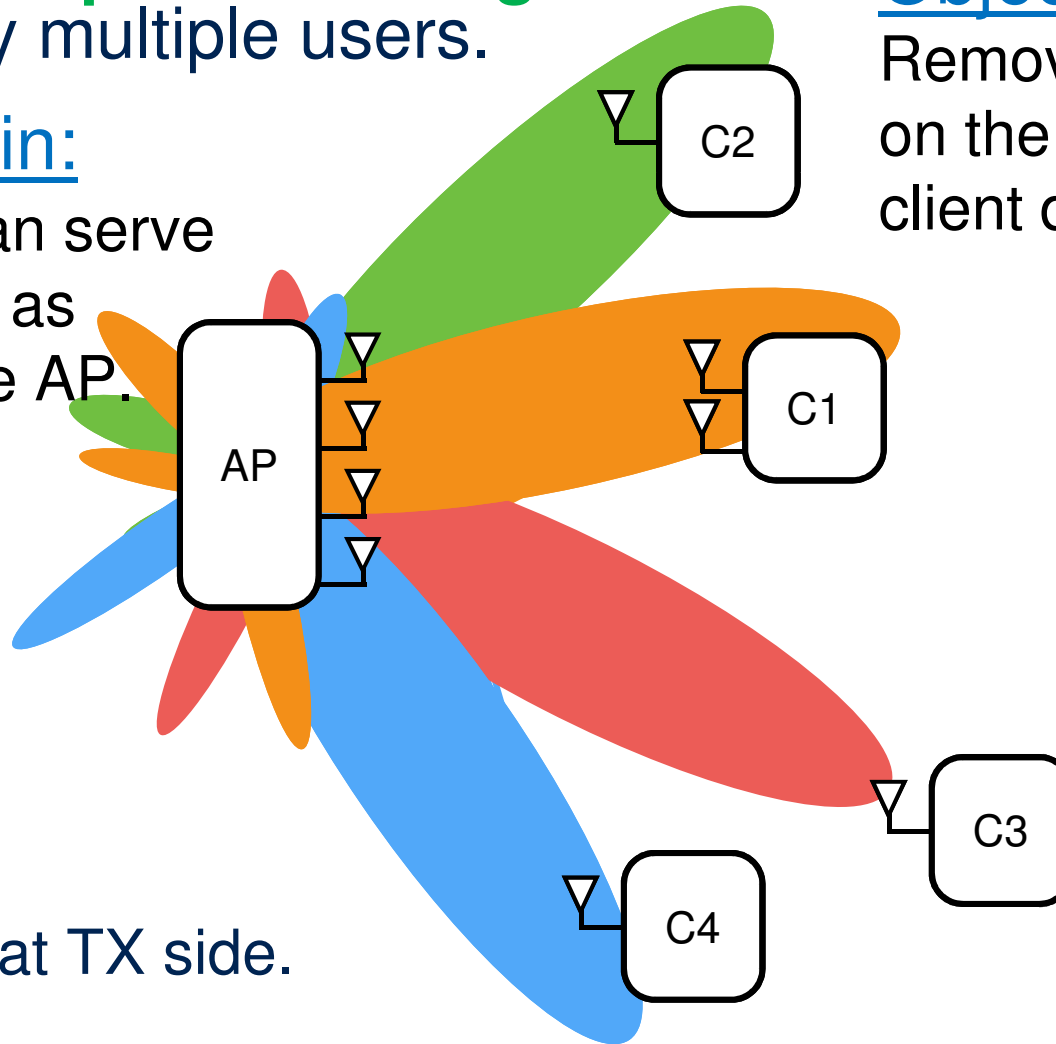
Simultaneous **spatial sharing** of medium by multiple users.

## Capacity Gain:

The system can serve as many users as antennas at the AP.

## Objective:

Remove the constraint on the # of antennas at client devices.

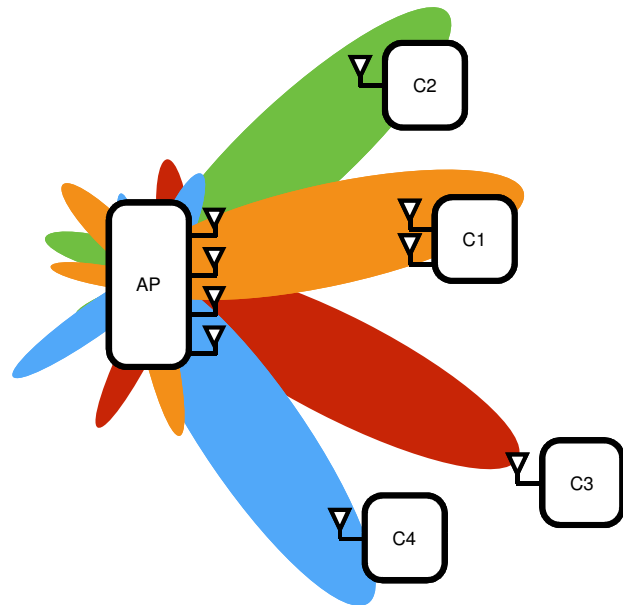


**MU-MIMO:**  
**Beamforming** at TX side.

# Multi-user MIMO

The IEEE 802.11ac amendment (**2013**) specifies **optional MU-MIMO operation:**

**Maximum of four users** and **two spatial streams (SS) per user.**



## Throughput Gains

Shown in prior works:

[Tse05, Yoo06, Aryafar10, Balan12, Shepard12]

However, **in this work we identify one key challenge...**

# Inter-Stream Interference

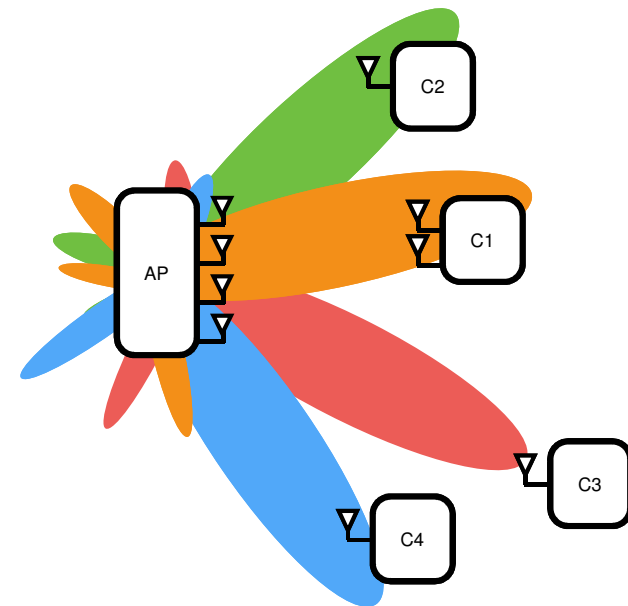
In practice, the accuracy of beam-steering weights used to **precode the TX signal** depends on

*User mobility*

*Environmental mobility*

*Quantization*

*Out-of-cell interference*



## Challenge:

High susceptibility of the MU-MIMO performance with **inter-stream (multi-user) interference**.

# Paper Contributions

*Design and evaluate* one protocol to  
**enable resilient MU-MIMO**  
**by removing the adverse effects of inter-stream interference**  
**due to outdated** and **inaccurate**  
**Channel State Information at Transmit Side (CSIT)**  
*using*  
MU-MIMO bit rate selection  
**and**  
**loss recovery.**

➤ **CHRoME:**

*Channel Resilient Multi-user bEamforming*

# Roadmap

▶ Background and Motivation

▶ **Protocol Description – CHRoME**

**Channel Resilient Multi-user beamforming**

**Objective**: reduce the effects of **Inter-Stream Interference**

in the throughput.

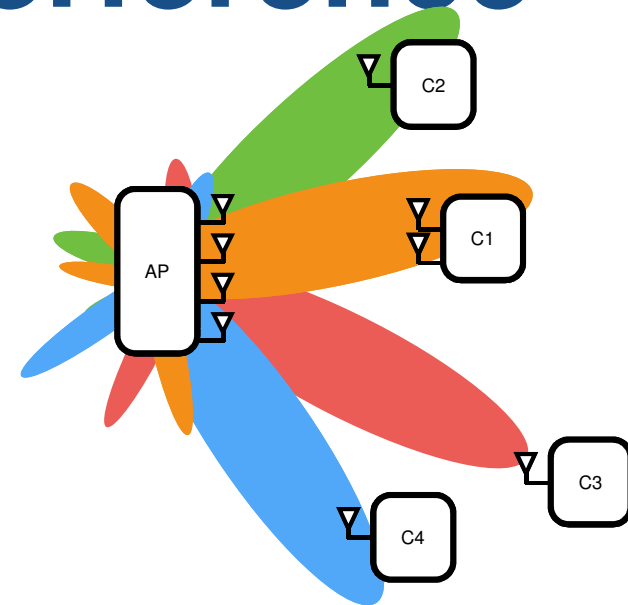
▶ **Protocol Evaluation:**

Trace Driven Emulation using **Over the Air Channel Measurements**

▶ **Conclusions**



# Inter-Stream Interference



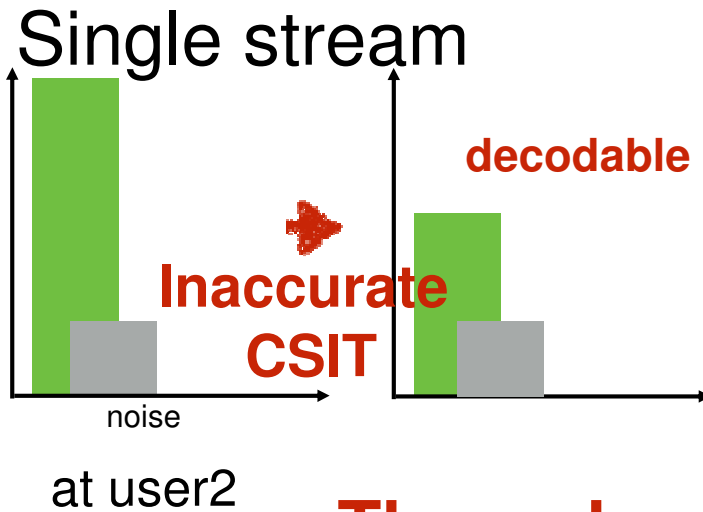
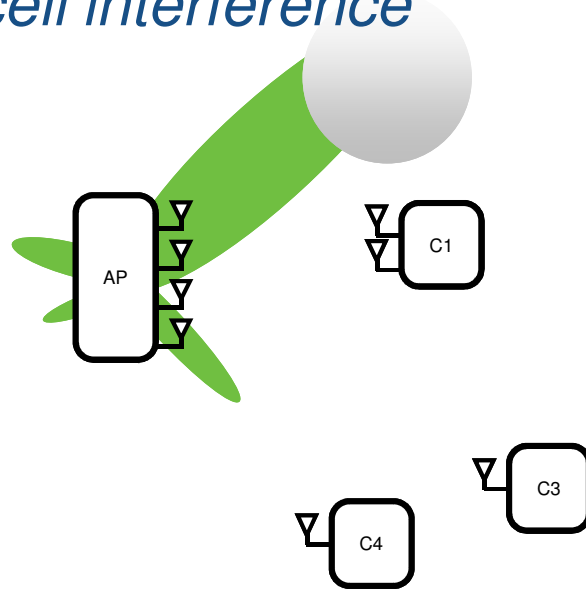
## Ideal MU-MIMO scenario:

- ◆ Fully or partially suppressing of the interference to **maximize the SINR at the users.**
- **Accurate CSIT** for beam-steering weight calculation;
- **Beamformed transmission** within the channel coherence time.

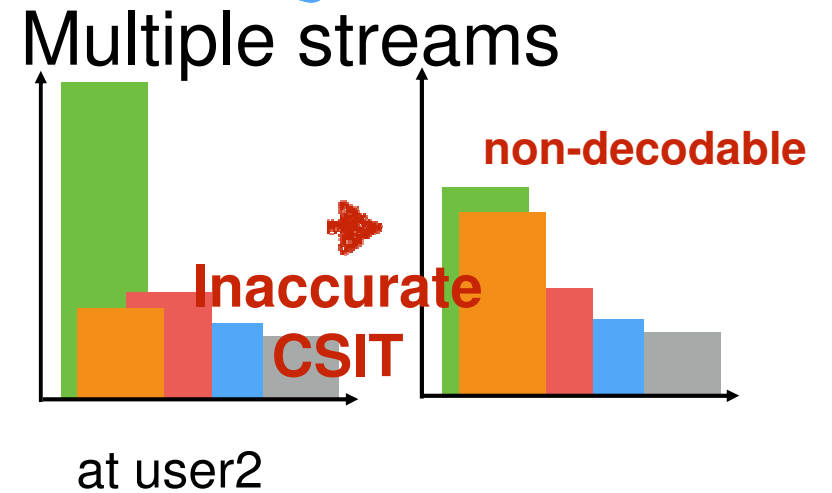
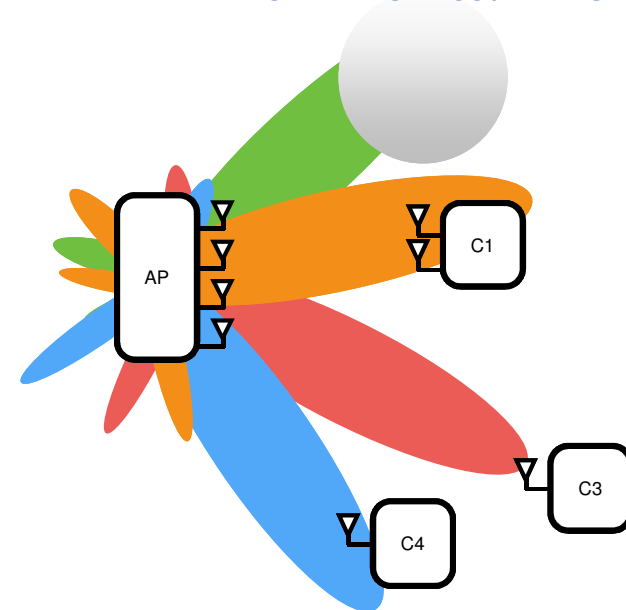
# Imperfect Beamforming:

## Inter-Stream Interference

Quantization  
Out-of-cell interference



User mobility  
Environmental mobility



## Throughput Penalty

# CHRoME

## **CH**annel **R**esilient **M**ulti-user **bE**amforming (CHRoME)

**Avoids** or **resolves** the problem of co-channel interference using:

### **Inter-stream/Out-of-cell interference estimation** **and MCS adaptation:**

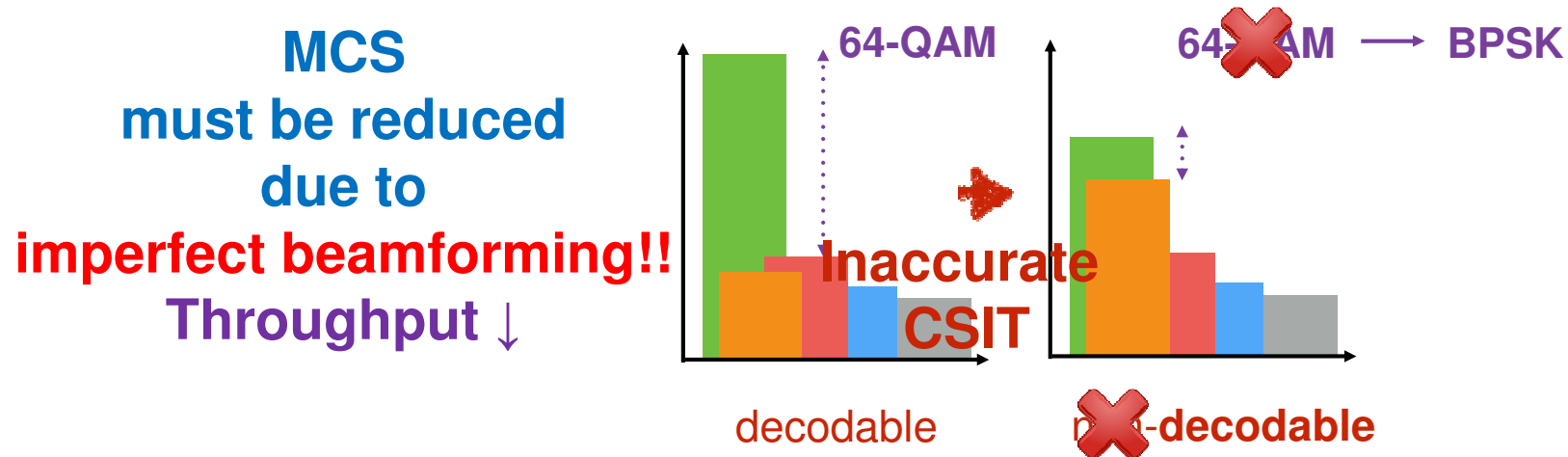
- Beamformed probing for “**just-in-time**” **MCS selection prior to data transmission.**

### **Fast soundless recovery:**

- **One-time fast retransmission with “liberated” antenna resources**

MCS -> Modulation and Coding Scheme

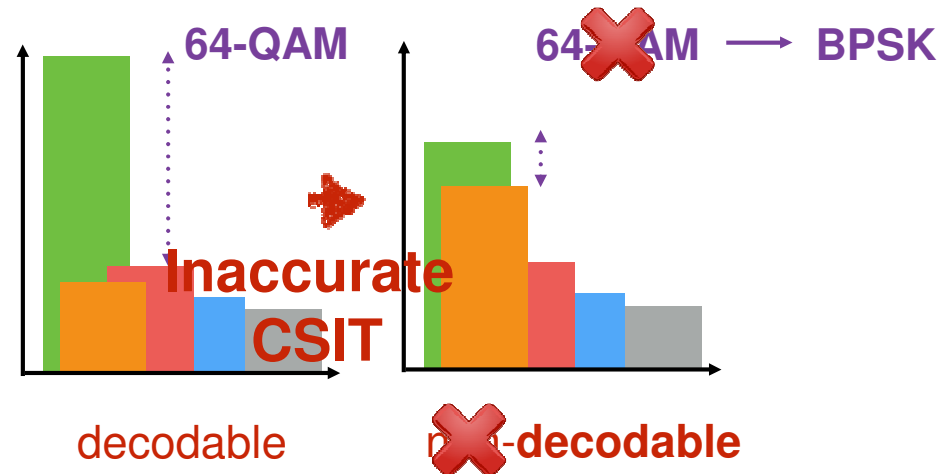
# MCS Selection in MU-MIMO



MCS selection is **increasingly more difficult for MU-MIMO** compared to **SU-MIMO**:

- **SINR** depends on channels to other concurrent users;
- **Inter-stream/out-of-cell interference** need to be taken into consideration`.

# MCS Selection in MU-MIMO



*Baseline Protocol: MCS selection based on CSIT*

[Halperin11,Shen12]

Transmitter learns channel matrix (vectors to all receivers) and infers the post processing SINR (e.g., projection onto null space of the vectors to the other users) **to select the MCS.**

## Drawbacks:

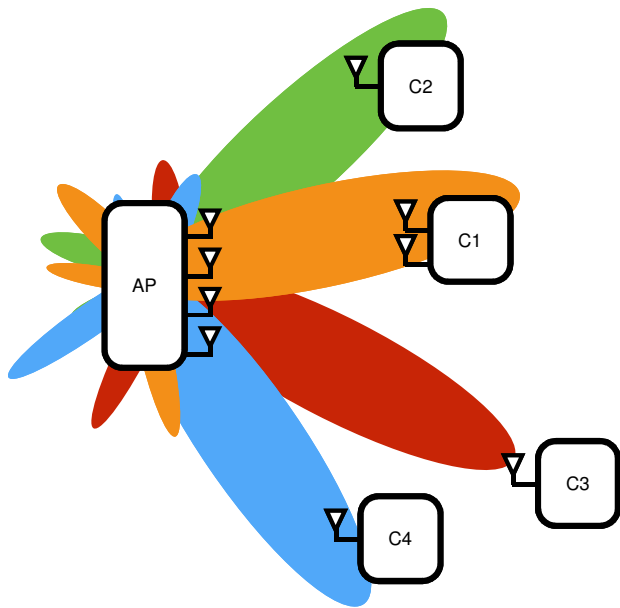
SINR depends on channels to other concurrent users

Inter-stream/out-of-cell interference need to be taken into account.

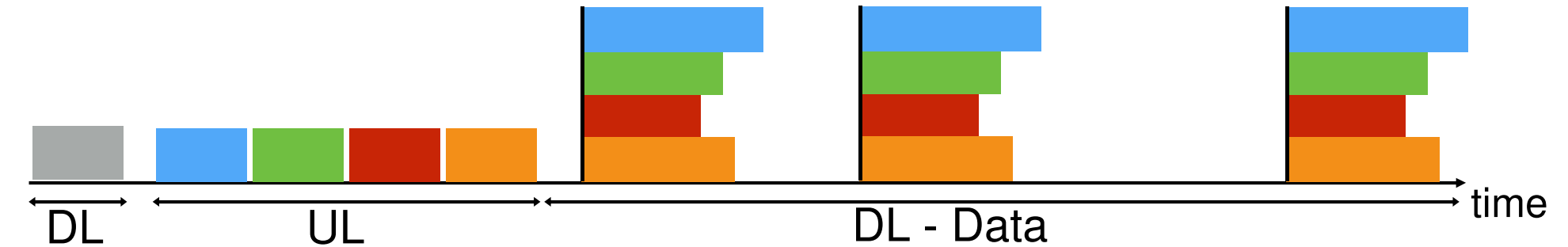
# Limitations...

of CSIT-Based MCS Selection for data transmission

Illustrative example  
explicit feedback



Gradual CSI degradation + other factors

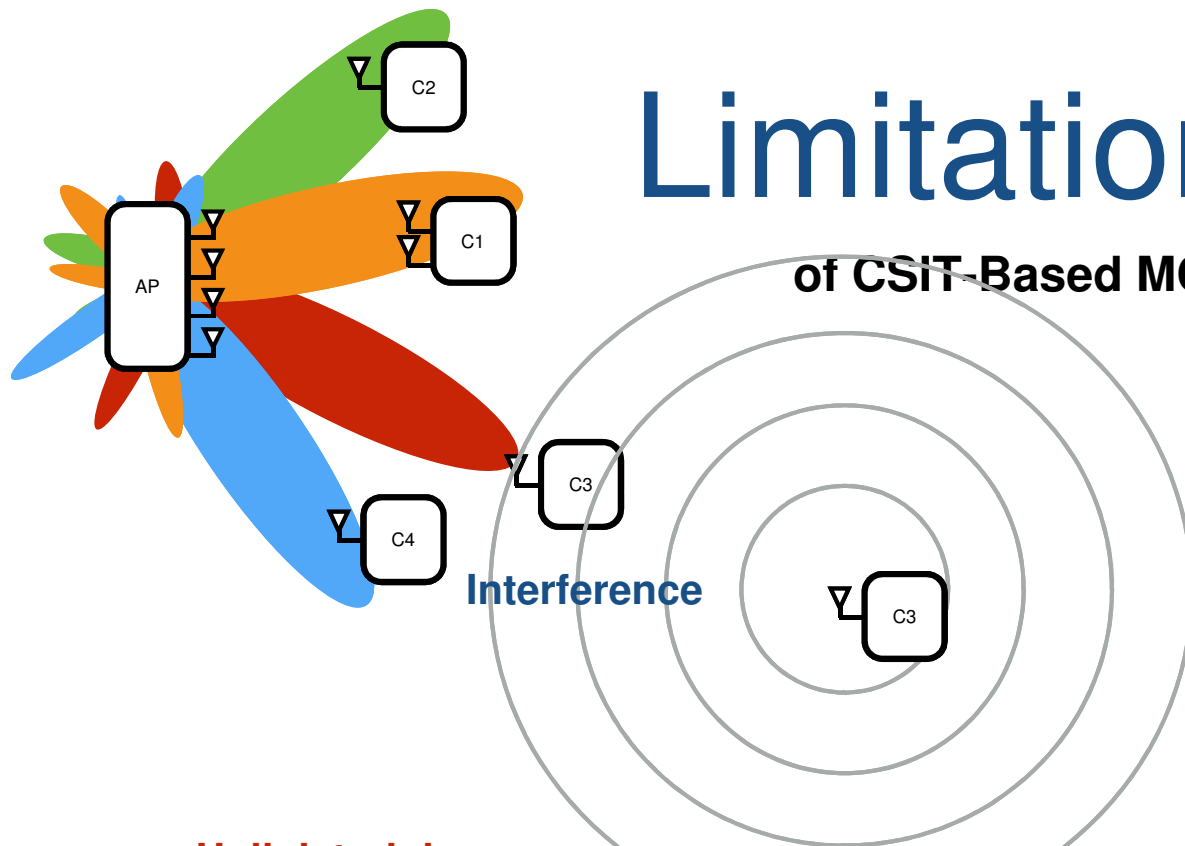


Channel observed at the user, which incorporates measured interference

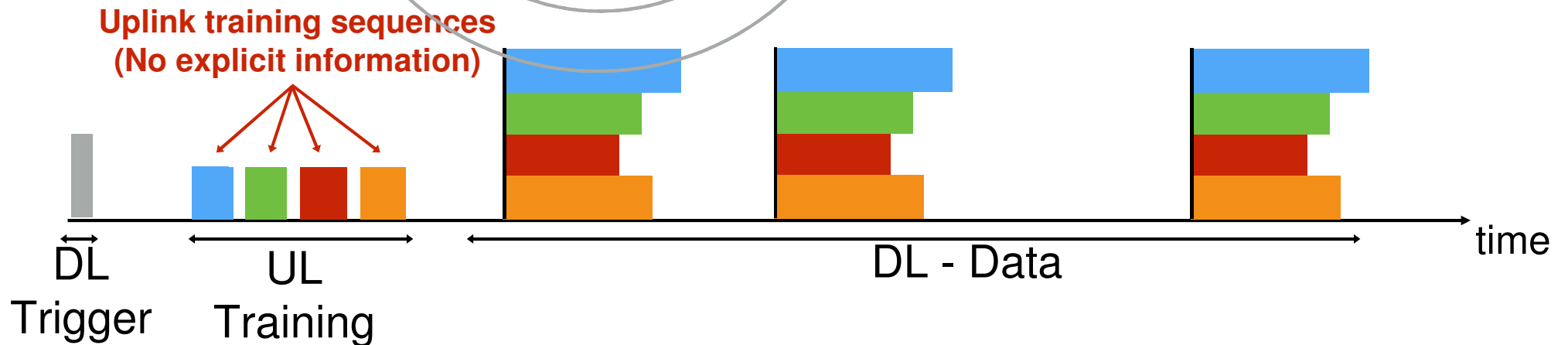
Best MCS, and *real* channel unknown

# Limitations...

of CSIT-Based MCS Selection for data transmission



Illustrative example  
implicit feedback



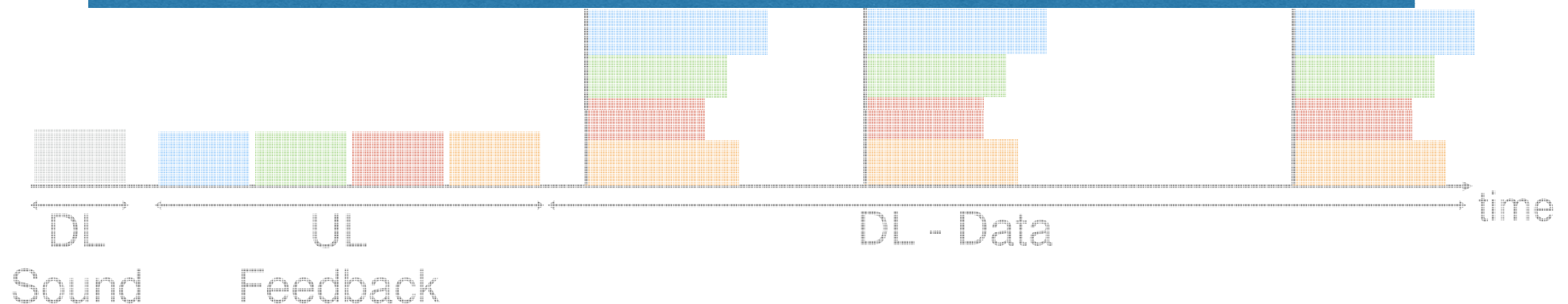
**The AP is not aware of any source of interference at users  
*since CSI is obtained from previous uplink transmissions***

# Limitations...

of CSIT-Based MCS Selection for data transmission

Illustrative example  
explicit feedback

**CHRoME** - Introduces a mechanism for “just-in-time” multi-user MCS selection which implements a beamformed probe that captures the *real* channel observed at the users



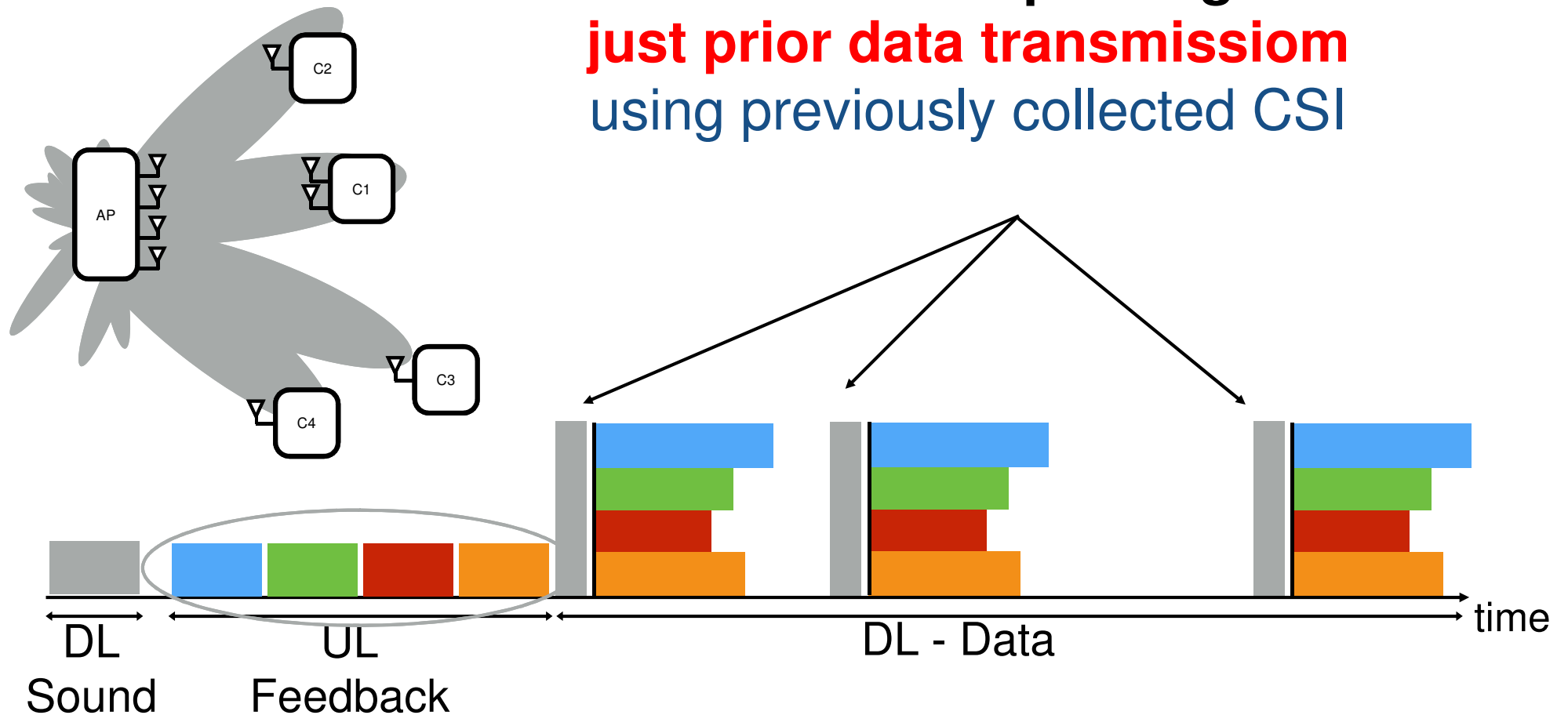
Best (highest possible) MCS, and *real* channel *unknown*



(1)

# Probing-Based MCS Selection

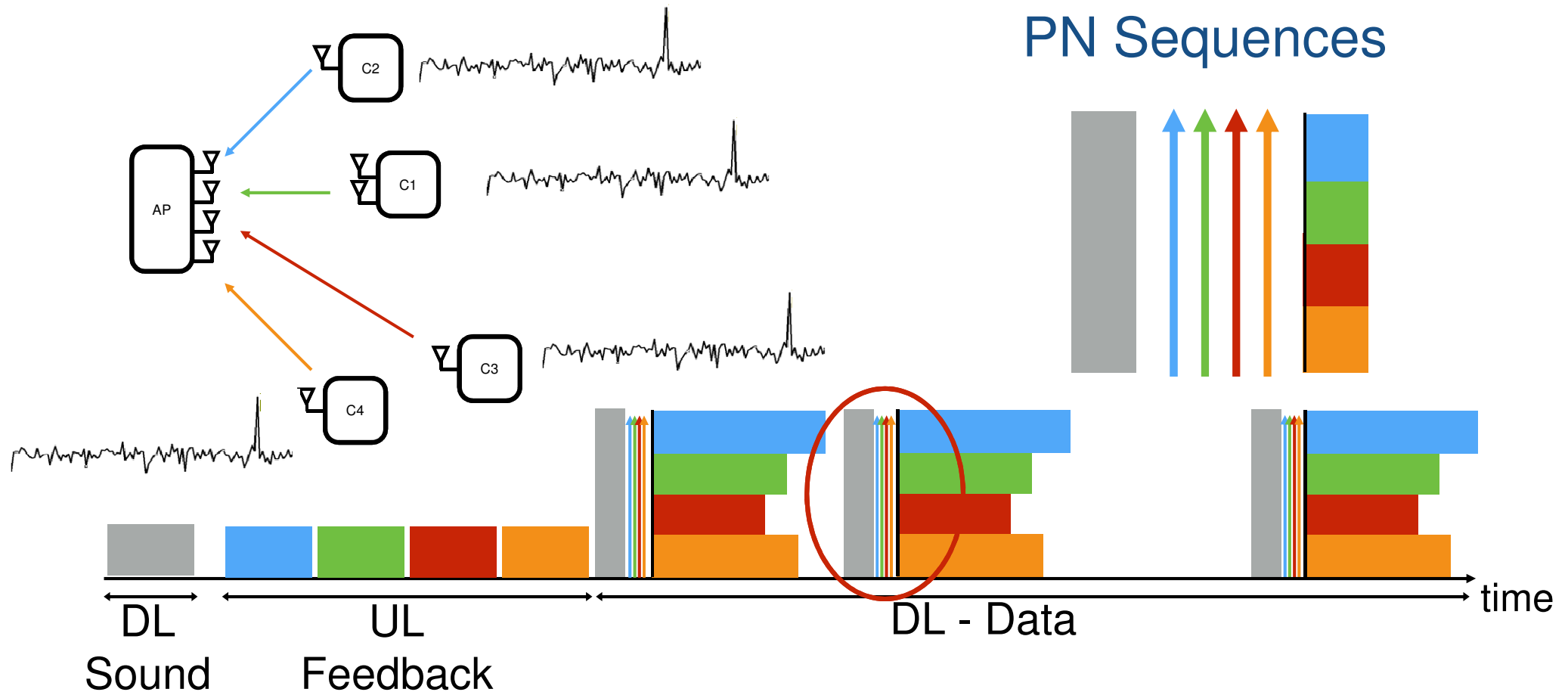
Short beamformed probing frame  
**just prior data transmission**  
using previously collected CSI



**Users measure the precoded probe's SINR and select the highest possible MCS (considering all current sources of interference)**

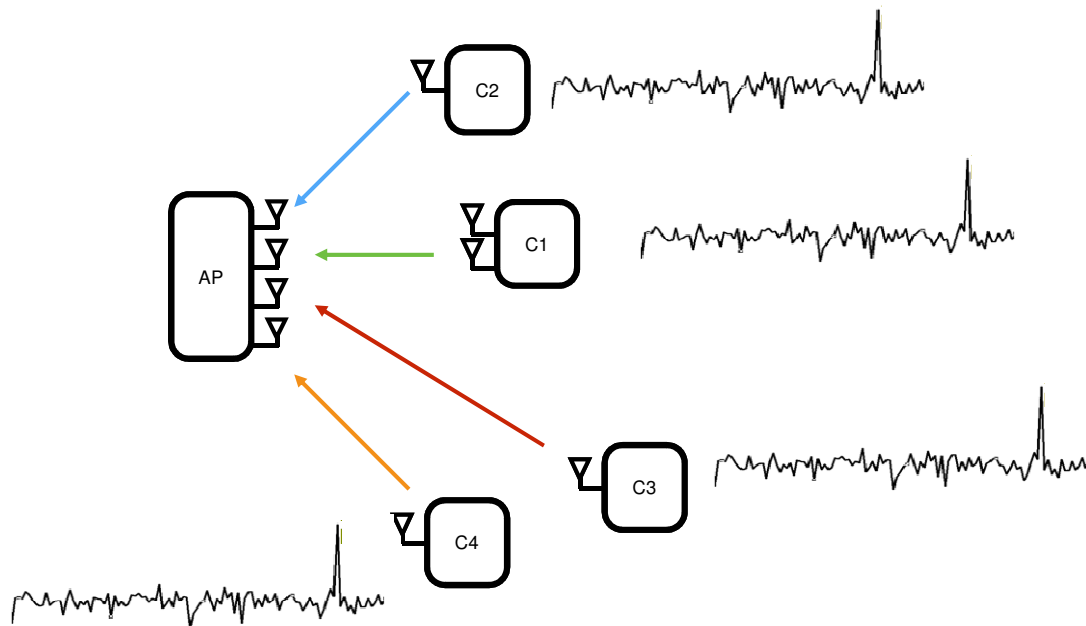
# (3) Probing-Based MCS Selection

Feed back MCS selection:

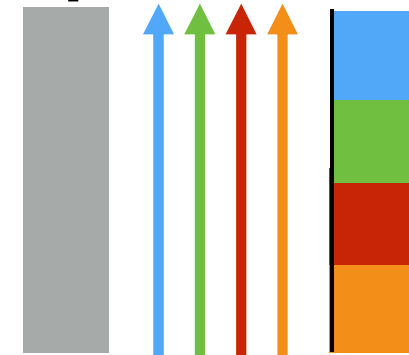


PN bit sequence: transport the MCS index

# (4) Probing-Based MCS Selection



## Correlatable symbol sequences



### Advantages of PN Sequences:

- No decoding required (no preamble or data processing)  
6.35  $\mu\text{sec}$
- Highly reliable (detected at low SINR, i.e., -6 dB)

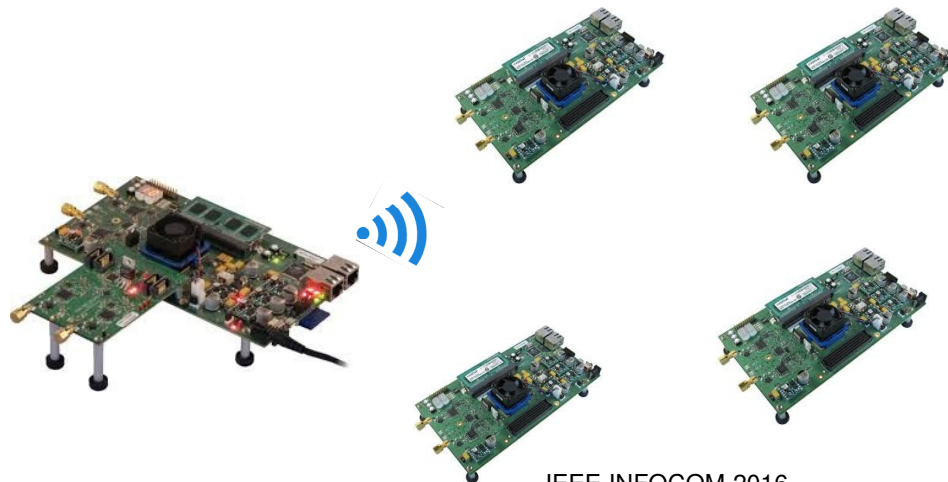
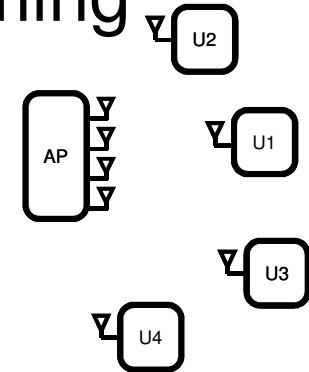
**Low** feedback overhead introduced

# CHRoME: Evaluation

**CH**annel **R**esilient **M**ulti-user **bE**amforming

## Methodology

- **Trace-Driven Emulation**
- Emulation based on **over-the-air channel measurements** we collect
  - Enables repeatability for fair comparison

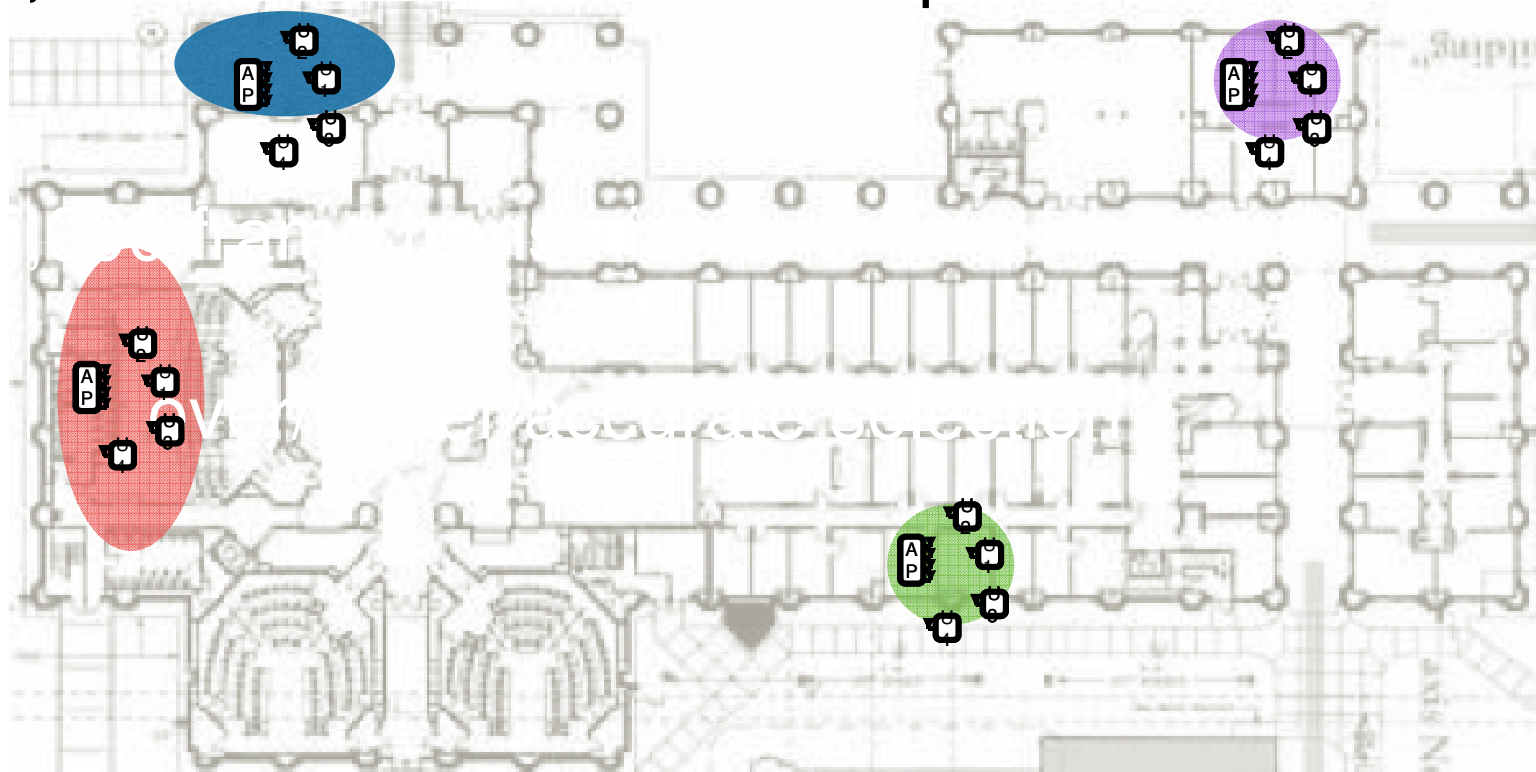
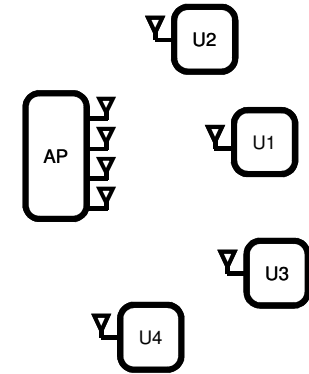


# Evaluation

## Methodology

Indoor channel traces - conference rooms/labs/offices environment

15,000+ frame transmissions per scheme



# Evaluation

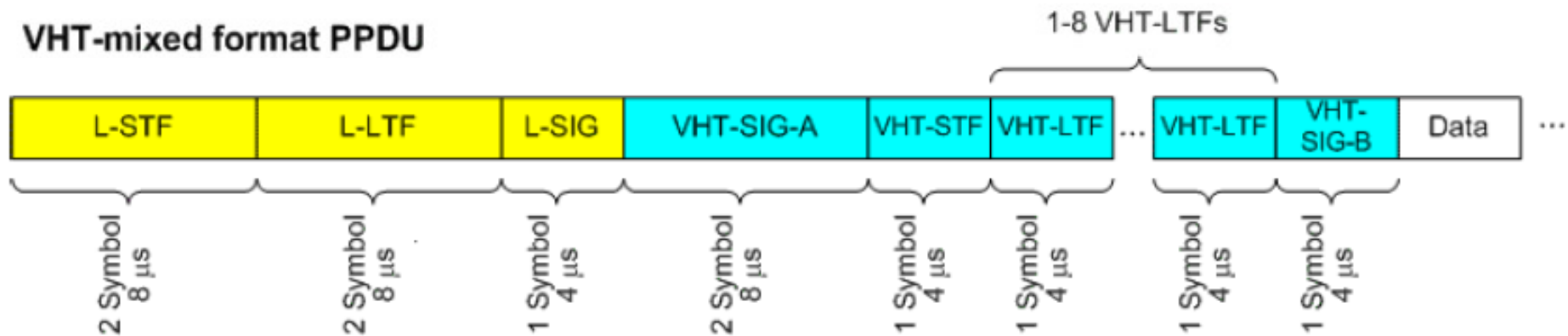
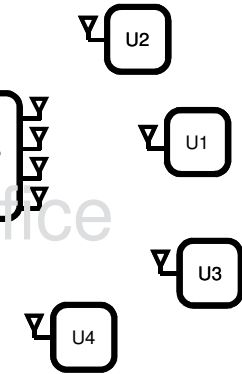
## Methodology

Indoor channel traces - conference rooms/lab/office environment.

15,000+ frame transmissions per scheme

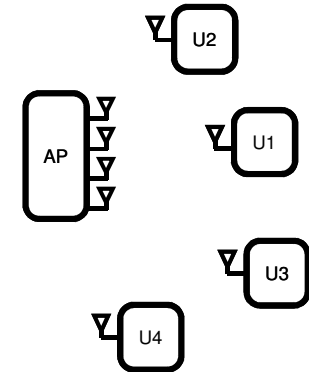
## Very-High Throughput (VHT) 802.11ac frame

- 802.11ac timings



# Probing-Based MCS Selection

MCS selection accuracy in real indoor channels



MCS selection solely based on CSIT	→	Over-selection
Conservatively decrease MCS	→	Under-selection
CHRoME	→	?

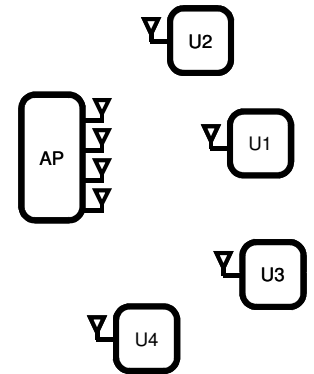
*Ground truth* found by measuring per subcarrier SINR during actual data transmission and mapping to MCS

# Probing-Based MCS Selection

**BL** = Baseline CSIT-Based

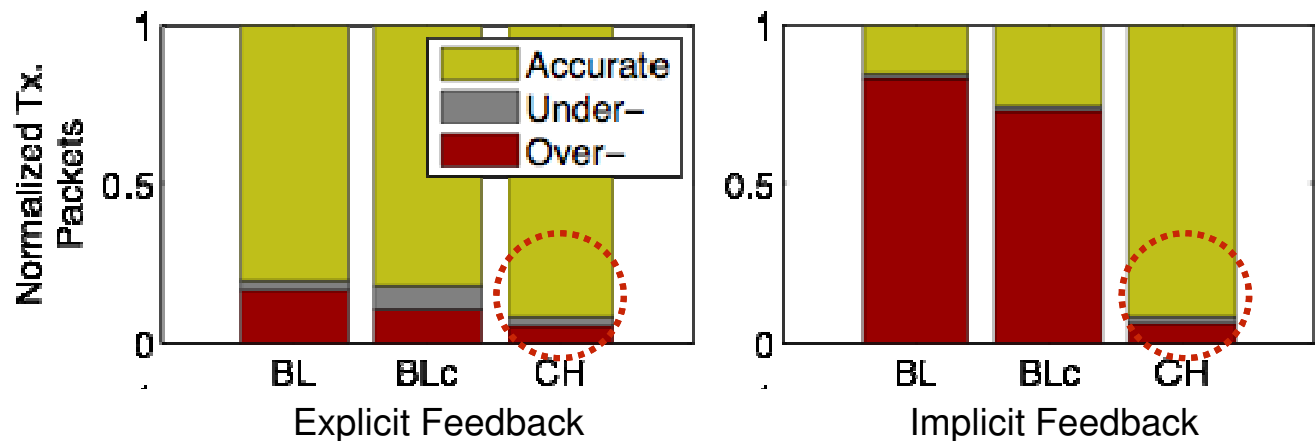
**BLc** = Baseline CSIT-Based Conservative (BL-1)

**CH** = CHRoME



## MCS selection accuracy

**Out-of-cell interference**  
-70 to -90 dBm

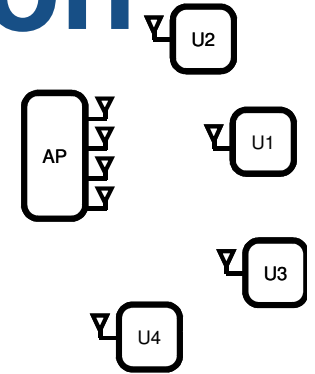


## Conclusions:

1. Higher accuracy of CHRoME compared to the baselines.
2. Much higher gain in implicit since the AP does not consider the interference at the STAs **in CSI estimation.**



# Probing-Based MCS Selection



## Basic Conclusion:

1. Much higher accuracy of CHRoME compared to the baselines since there is no interference and there is more room to make mistakes **due to outdated CSI.**

MCS selection accuracy

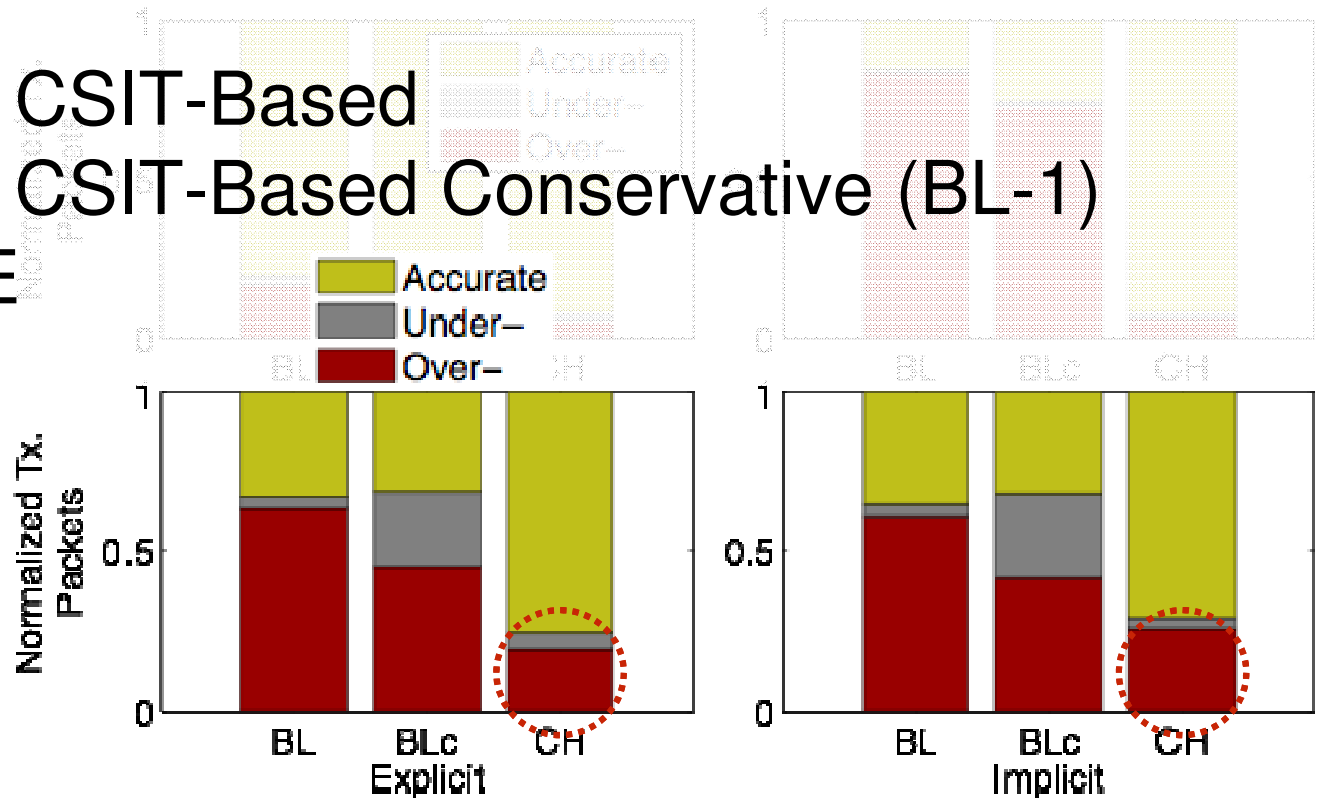
**BL** = Baseline CSIT-Based

**BLc** = Baseline CSIT-Based Conservative (BL-1)

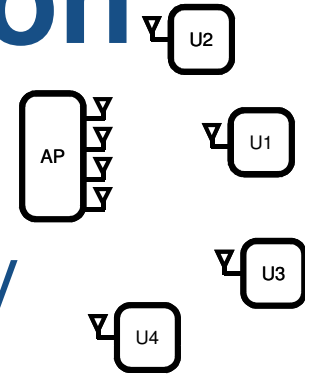
**CH** = CHRoME

Out-of-cell interference

No out-of-cell interference



# Probing-Based MCS Selection



## Percent gain of CHRoME

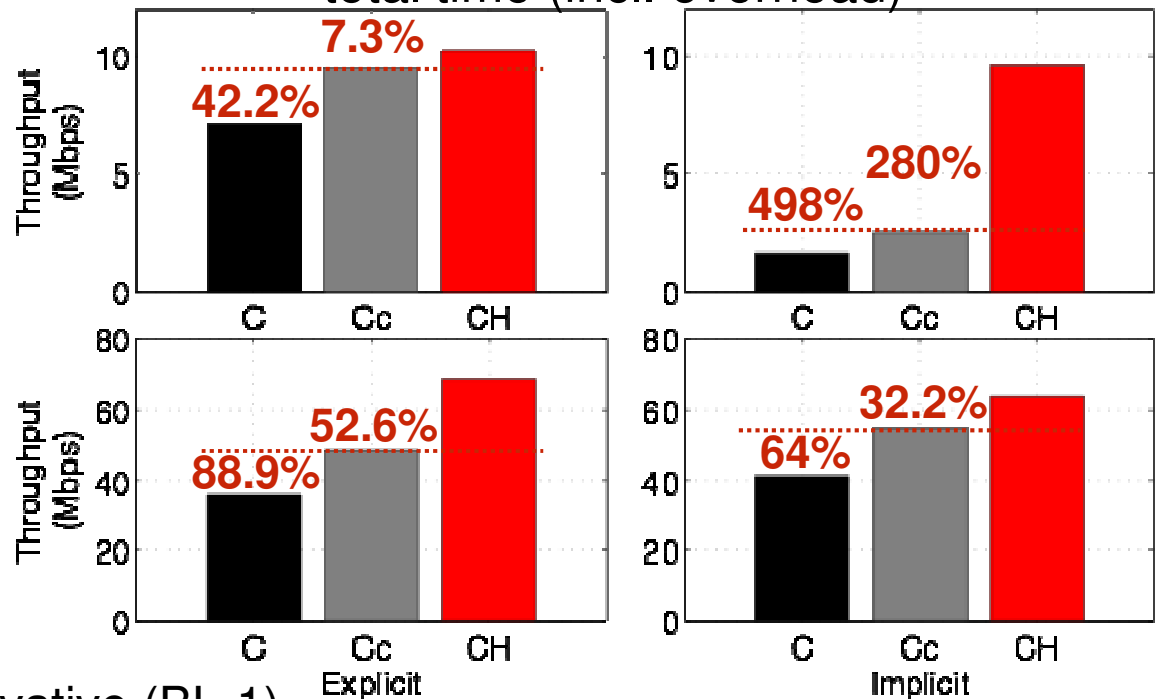
From 7% to 280% .

## MCS selection accuracy (Throughput)

$\frac{\# \text{ succ. received data bits}}{\text{total time (incl. overhead)}}$

(Top)  
Out-of-cell interference

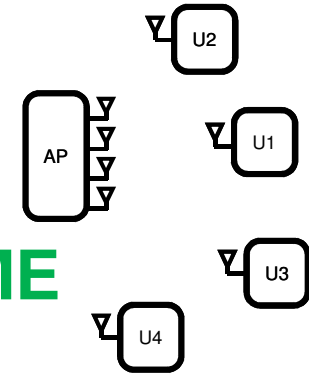
(Bottom)  
No out-of-cell interference



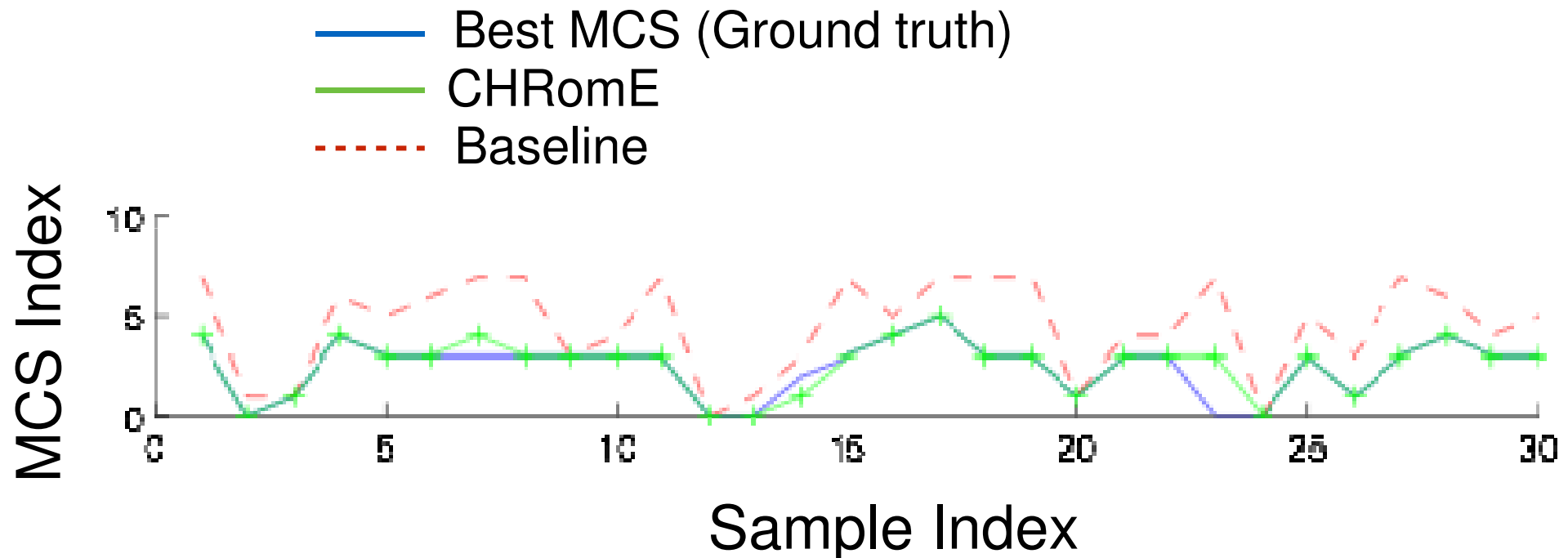
- BL** = Baseline CSIT-Based
- BLc** = Baseline CSIT-Based Conservative (BL-1)
- CH** = CHRoME

# Probing-Based MCS Selection

MCS selection accuracy  
(Adaptation response)



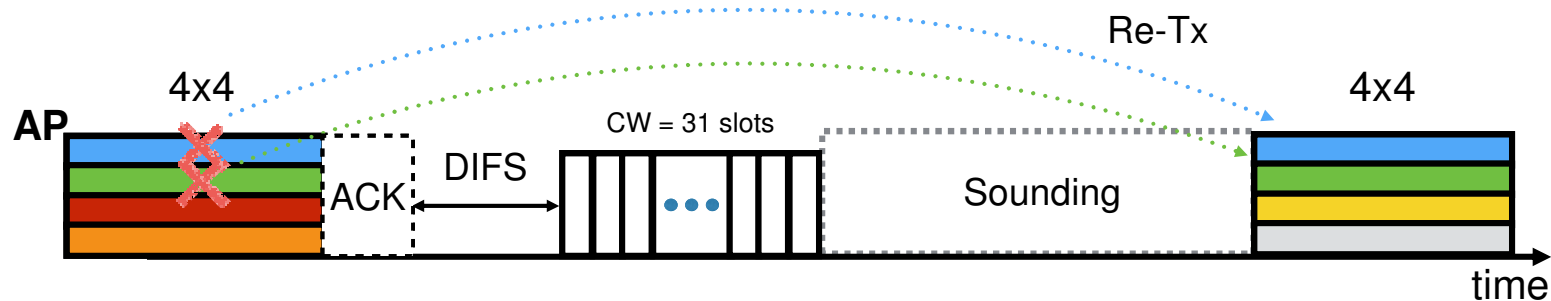
**The baseline mostly overselects whereas CHRoME follows closely the ideal MCS selection**



# Retransmissions in 802.11-based networks

# TX antennas: 4

4 users with 1 Rx antenna each

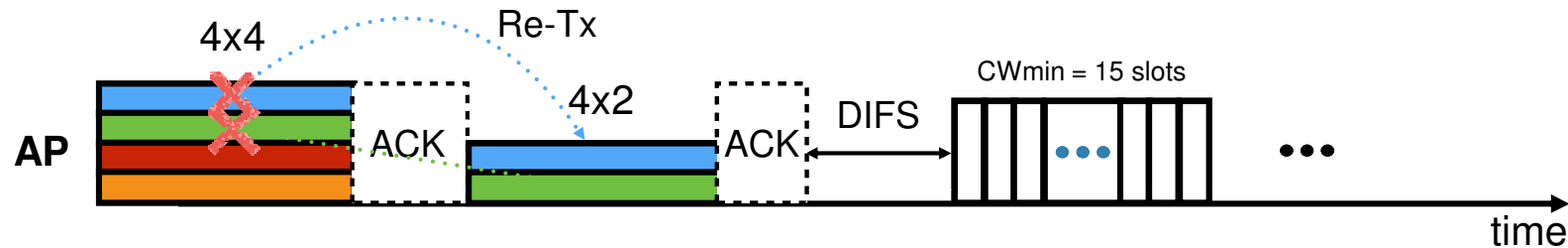


**Corrupted packets require re-contention after doubled backoff window **and** sounding the channel again**



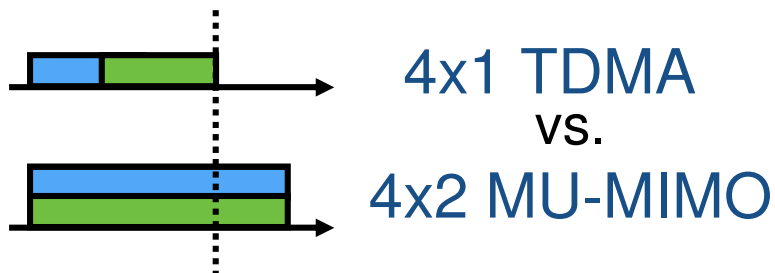
***High overhead introduced***

# MU-MIMO Fast Packet Recovery



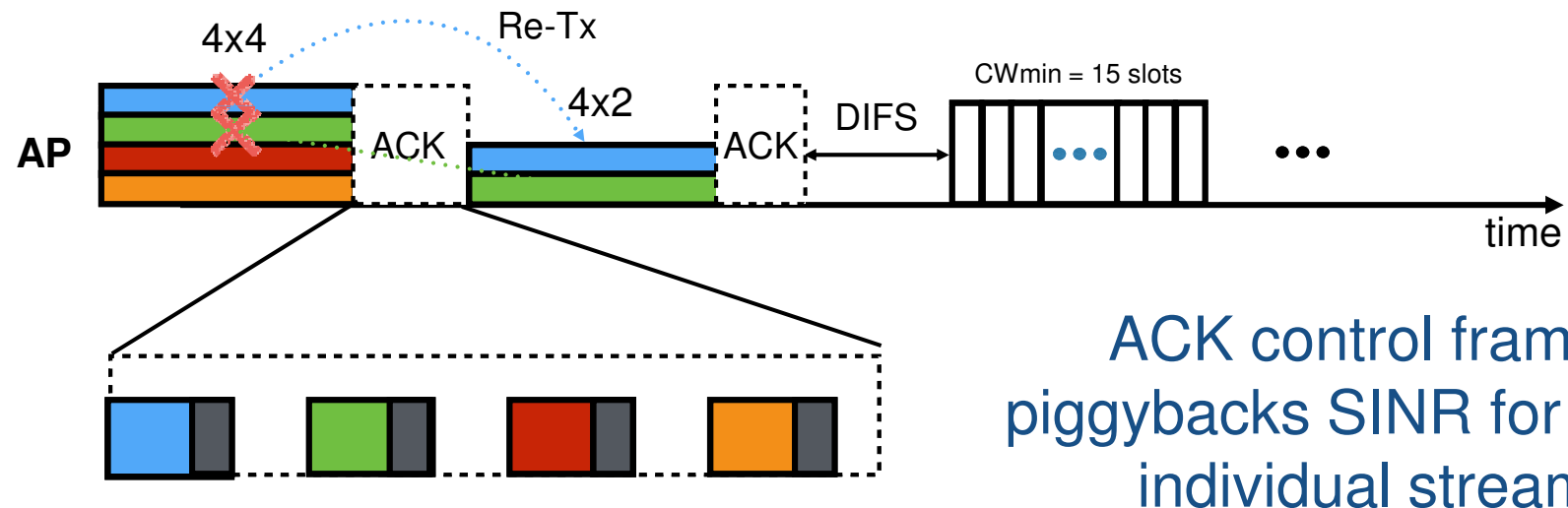
Exploit *liberated* antenna resources to obtain **diversity and power gain**, increasing robustness

Select configuration that minimizes the time to retransmit the corrupted packets



# Fast Recovery: MCS Selection

The AP performs **MCS selection** based on the **report of individual inter-stream interference components piggybacked in the ACK control frame**.



# Fast Recovery

**Advantages:** reduce the overhead

Obviate the need to re-sound the channel

Avoid doubling backoff window of CSMA mechanism.

**Disadvantages**

Neglect higher multiplexing gain during retransmission (e.g. 4x4 vs 4x2)

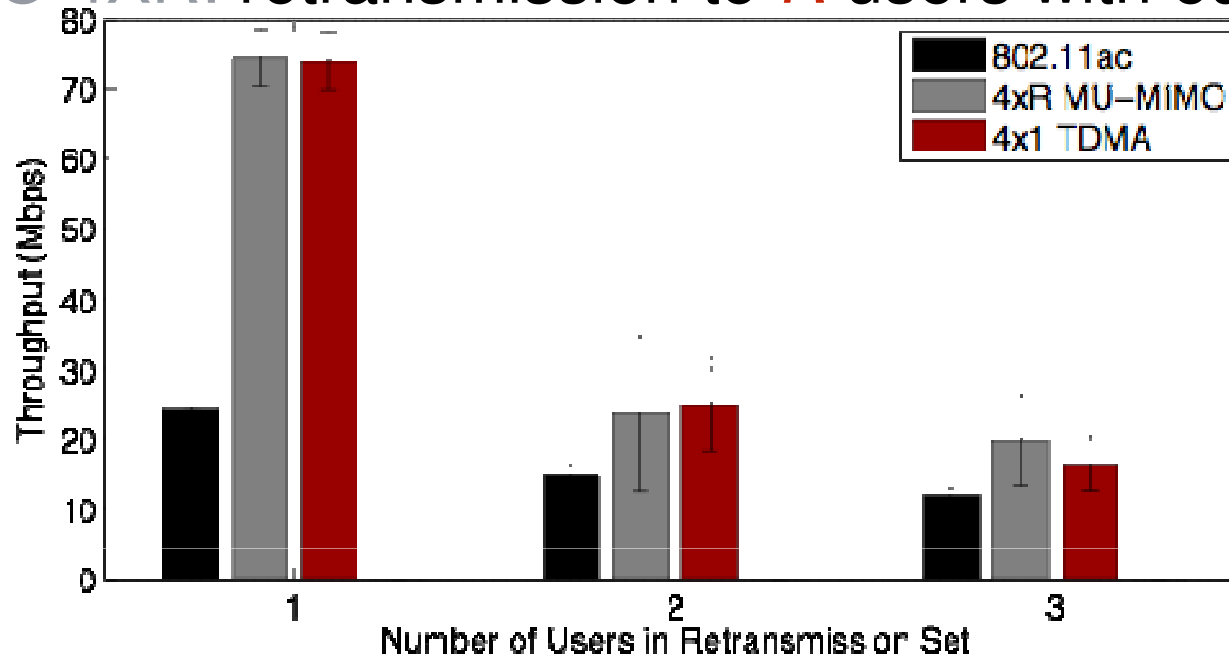
**Beamforming with Increasingly outdated CSIT**

# Fast Recovery

**802.11ac**: always uses all DoF in re-tx and re-tx.is always *successful*

**TDMA 4X1**: diversity gain with overhead penalty.

MU-MIMO 4XR: retransmission to  $R$  users with outdated CSI



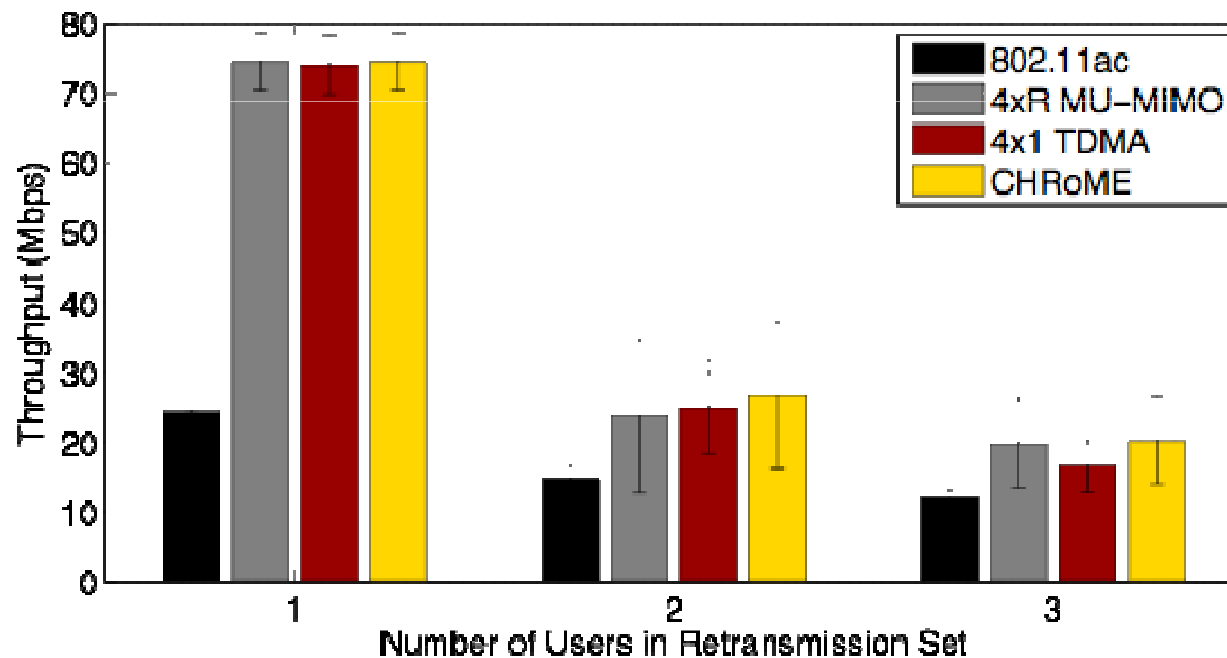
Similar performance of MU-MIMO and TDMA.

**Performance depends on two major factors:**

- (i) Retransmission success rate;
- (ii) Incurred overhead / overhead reduction.



# Fast Recovery



CHRoME's lowest throughput is at least that of the best performing scheme

# Conclusions: CHRoME

## CHannel Resilient Multi-user bEamforming

### Probing-based MCS selection

MCS selection mechanism that assesses the channel and inter-stream interference affecting each user, and adapts rate accordingly

### Fast, soundless MU-MIMO recovery

Immediate retransmission mechanism that precludes the need to re-sound the channel by leveraging liberated DoF at the transmitter

### **Take away message:**

**Incorporating knowledge with respect to co-channel interference into protocol decisions leads to substantial mitigation of its effects**

# Thank you for your kind attention!

**IEEE INFOCOM 2016**

IEEE International Conference on Computer Communications  
10-15 April 2016 // San Francisco, CA, USA

**Oscar Bejarano**

[obejarano.rice@gmail.com](mailto:obejarano.rice@gmail.com)

**Roger Hoefel**



**Edward Knightly**

